



CONFERENCE OF  
SOUTHWEST FOUNDATIONS

*Connecting Grantmakers. Inspiring Excellence.*

# Giving Study



**A Look at Grantmaking of  
Private Foundations in the  
Southwest**



# A Letter from CSF's President

As president of the Conference of Southwest Foundations, I am delighted at the publication of our first study of private foundation grantmaking in the Southwest.

CSF initiated this study as an outgrowth of one goal of our long-range plan, to strengthen CSF's role as a voice for philanthropy in the Southwest. In addition, we wanted to make foundations in our region—whether CSF members or not—aware of the grantmaking by their colleagues. We hope this information will ultimately help grantmakers identify both potential funding partners and areas of unaddressed need. Policymakers also need this critical information to better understand the importance of philanthropy to our region.

We hope that this study is the first of many research efforts to capture the diversity of charitable activities by foundations, including making grants, operating programs, and investing in charitable projects. Grantmaking, as the most common and better understood of these activities, seemed the logical place to start. We welcome suggestions about additional research, or ways that this study might be improved in the future. For example, we are already considering a similar research study of community foundation giving. Let us hear from you.

Research data is only as useful as it is accessible. The members of the Giving Study Focus Group, listed at right, worked diligently and creatively to present the results of the study in a compelling way, and develop a full plan of activities over the coming months to extend the reach of this initial publication. On behalf of CSF, I want to thank them for their efforts.



Michelle D. Monse  
President, Carl B. & Florence E. King Foundation and  
Board President, Conference of Southwest Foundations

**CSF members also have access to spreadsheets containing the data used for portions of CSF Giving Study of Private Grantmaking Foundations. A helpful "tip sheet" provides guidance and ideas on ways to utilize the data. Visit the Members Only section of [www.c-s-f.org](http://www.c-s-f.org) for access or contact CSF for assistance (214-740-1787).**

## A Special Thank You

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*Moon Cloud*, 2001

crepe myrtle, dye, wax

From the collection of Ken and Becky Phillips, Dallas.

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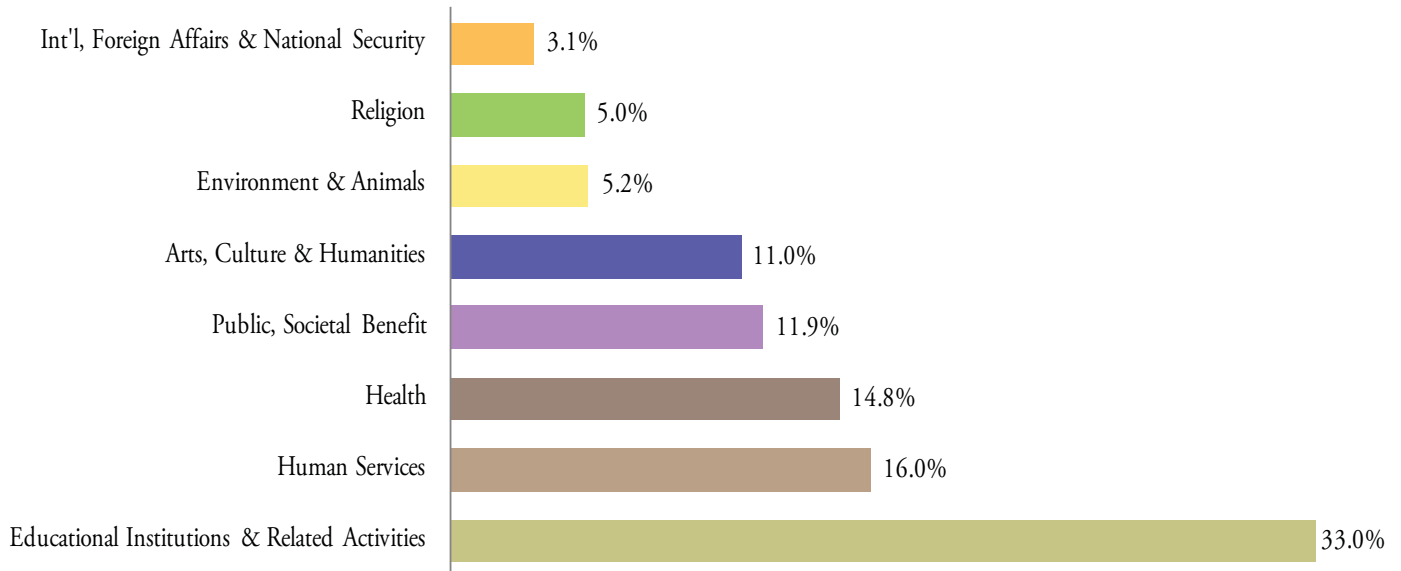
Photography: Pete Lacker

# Summary of Key Findings



Funders in CSF's seven state region gave to Educational Institutions & Related Activities at almost double the amount of any other subject area.

**Percentage of Grant Dollars by Subject Area, CSF Member States**



Of foundations based in the Southwest which hold \$5 million or more in assets or make at least \$10,000 in grants annually, less than 20% have more than \$5 million in assets. Those with assets greater than \$5 million account for more than 83% of total giving.

Asset Range	Number of Fdns in Asset Range	Percent of Fdns in Asset Range	Total Assets	Percent of Total Assets	Total Giving	Percent of Total Giving
\$50 million +	165	2.9%	\$34,510,472,672	64.5%	\$2,059,626,597	54.0%
\$10 million to \$49.9 million	492	8.8%	\$10,667,961,846	20%	\$913,875,265	24%
\$5 million to \$9.9 million	438	7.8%	\$3,097,200,608	5.8%	\$193,711,850	5.1%
\$1 million to \$4.9 million	1,773	31.7%	\$4,142,607,065	7.7%	\$373,909,074	9.8%
\$500,000 to \$999,999	945	16.9%	\$683,473,871	1.3%	\$78,297,335	2.1%
\$250,000 to \$499,999	708	12.7%	\$263,317,199	0.5%	\$43,266,235	1.1%
\$100,000 to \$249,011,000	455	8.1%	\$80,946,998	0.2%	\$40,498,333	1.1%
less than \$100,000	619	11.1%	\$20,305,788	<0.10%	\$109,500,662	2.9%
<b>TOTAL</b>	<b>5,595</b>	<b>100%</b>	<b>\$53,466,286,047</b>	<b>100%</b>	<b>\$3,812,685,351</b>	<b>100%</b>

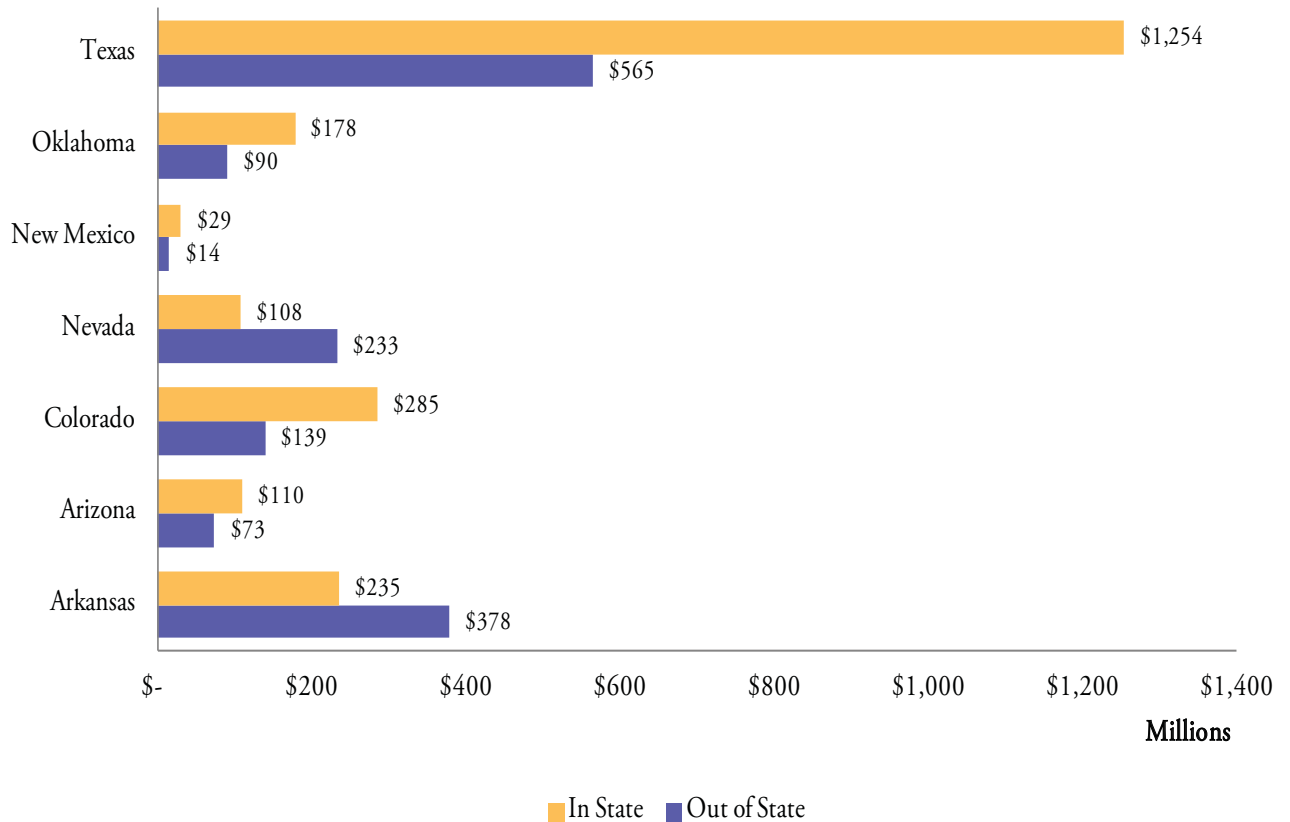


**CSF members account for 34% of assets within the region and 23% of total giving in the region. The average grant by CSF members is higher than the average grant across all foundations within CSF's region.**

State	Total Assets	Member Assets	Total Giving	Member Giving	Average Grant	Average-Member Grant
AR	\$3,398,378,104	\$163,700,511	\$636,276,388	\$11,460,689	\$18,540	\$38,059
AZ	\$3,071,333,806	\$925,376,303	\$192,604,732	\$31,133,240	\$32,149	\$38,048
CO	\$8,003,175,557	\$2,173,348,049	\$445,826,183	\$87,476,080	\$26,513	\$29,566
NV	\$5,076,665,847	\$663,999,865	\$350,746,130	\$110,371,802	\$89,267	\$652,522
NM	\$999,855,898	\$334,068,554	\$45,846,081	\$11,049,877	\$17,677	\$18,376
OK	\$5,475,945,895	\$2,511,556,748	\$277,075,562	\$103,902,176	\$36,612	\$69,989
TX	\$27,440,930,940	\$11,298,461,578	\$1,864,310,275	\$526,202,541	\$37,852	\$55,048
<b>All States</b>	<b>\$53,466,286,047</b>	<b>\$18,070,511,608</b>	<b>\$3,812,685,351</b>	<b>\$881,596,405</b>	<b>\$31,703</b>	<b>\$55,655</b>



**On average, foundations in member states give 60% of contributions in their home state and 40% out of state.**





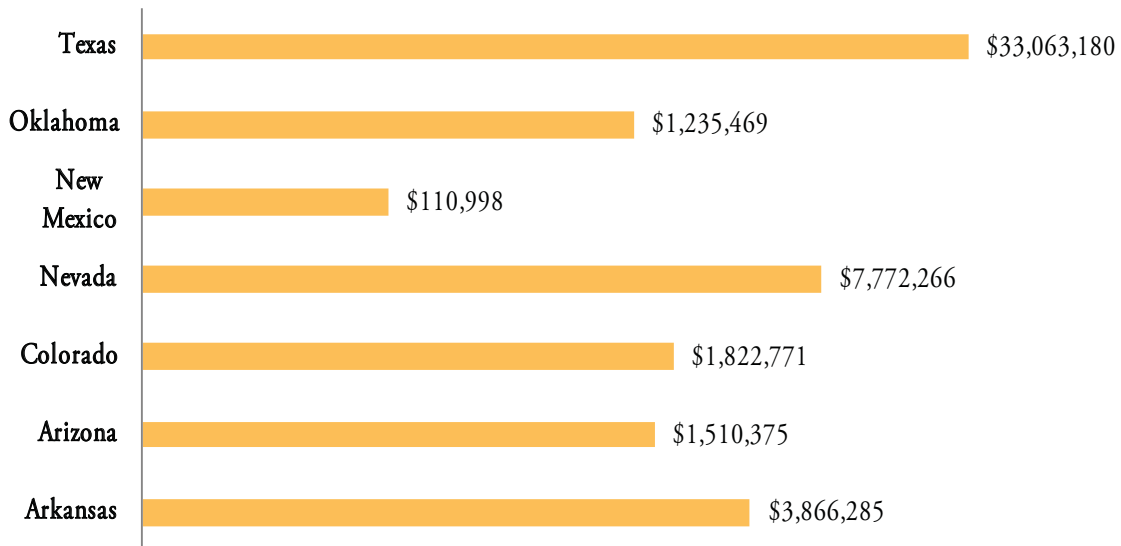
**Foundations are concentrated within 60% of all counties in the Southwest. Only 9% of the counties hold at least \$100 million in assets.**

	<b>Counties</b>	<b>Counties with at Least One Foundation</b>	<b>Counties with at Least \$100 million in Assets</b>
Arkansas	75	32	4
Arizona	15	11	3
Colorado	64	45	8
New Mexico	33	23	2
Nevada	16	10	2
Oklahoma	77	40	3
Texas	254	155	24



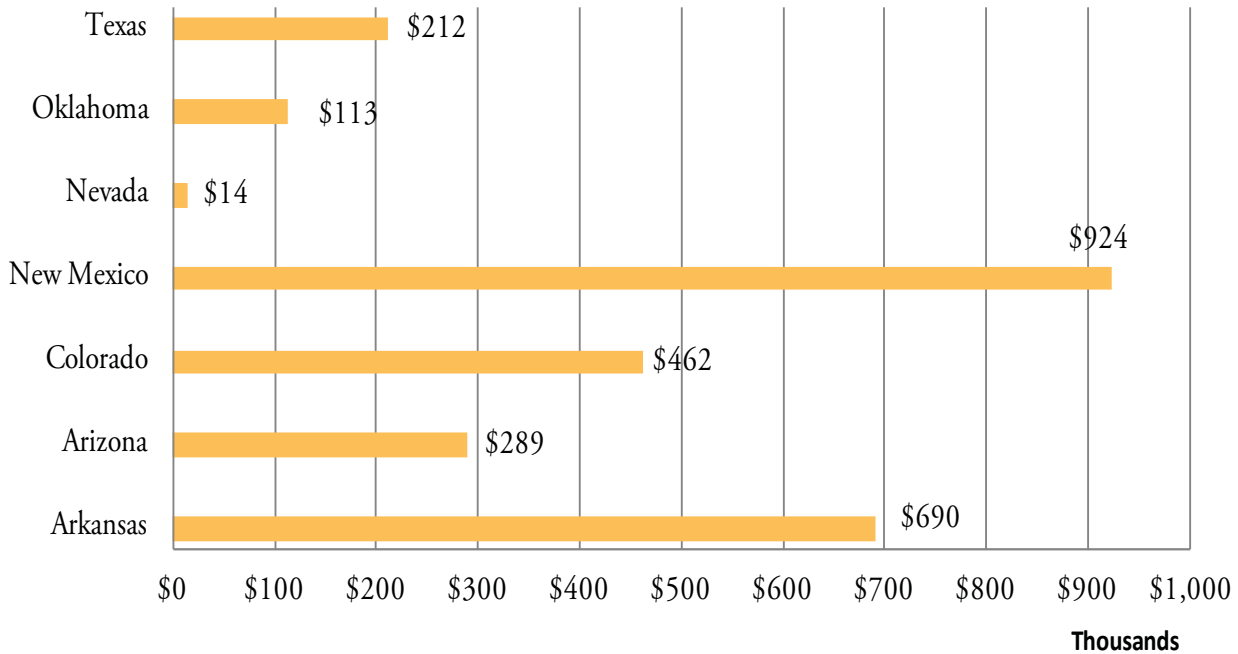
**Foundations in member states made grants in more than 71 countries outside of the United States.**

**International Giving in 2009 within CSF Member States**





Foundations in CSF's region contributed over \$2.7 million in giving to Native populations. Giving from foundations based in New Mexico surpassed all other states in CSF's region.



### Top 20 Criteria

To be ranked as one of a state's Top 20 Foundations by Assets, a foundation had to have assets of at least:

AR	\$12,544,752
AZ	\$27,277,791
CO	\$60,848,547
NM	\$7,408,676
NV	\$22,735,717
OK	\$55,097,719
TX	\$194,414,163

To be ranked as one of a state's Top 20 Foundations by Giving, a foundation made contributions of at least:

AR	\$608,825
AZ	\$1,900,157
CO	\$3,862,969
NM	\$401,000
NV	\$1,943,000
OK	\$2,676,810
TX	\$10,630,120

### Methodology

To review the methodology used for this report, please visit this webpage.





## Giving Study of Private Grantmaking Foundations

# ARKANSAS



Over 45% of all foundation giving was towards Educational Institutions and Related Activities, though CSF member foundations also placed a high priority on Arts, Culture and Humanities (37.2%). Sixty-two percent of all grants from foundations headquartered in Arkansas were granted to organizations outside the state, the highest of any CSF member state.

### Arkansas Quick Facts<sup>‡</sup>

**Total Giving by Foundations**  
\$636,276,388

**Number of Foundations**  
200

**Number with grants \$100,000+**  
81

**Percentage of total giving by foundations making grants of \$100,000+**  
99%

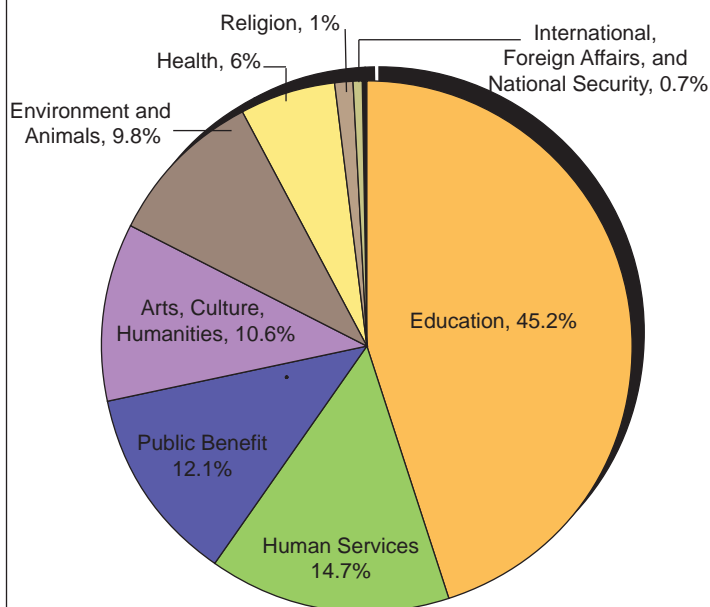
**Number of Grants to Arkansas-based Organizations**  
2,540 (38.4%)

**Average Grant**  
\$18,540

### Top 20 Grantmaking Private Foundations by Giving<sup>‡‡</sup>

Blue & You Fdn for a Healthier Arkansas	Little Rock
Charles A. Frueauff Foundation	Little Rock
Charles M. and Joan Taylor Foundation	Little Rock
El Dorado Promise	El Dorado
Fox Family Charitable Trust	Little Rock
Glass Family Foundation	Bentonville
Jackson T. Stephens Charitable Golf Tournament Trust	Little Rock
Jesus Fund	Little Rock
Murphy Foundation	El Dorado
Oliver Charitable Corporation	Little Rock
Ross Foundation*	Arkadelphia
Schmieding Foundation	Springdale
Soderquist Family Foundation	Rogers
Tyson Foundation	Springdale
Wal-Mart Foundation	Bentonville
Walton Family Foundation	Bentonville
Willard and Pat Walker Charitable Fdn	Fayetteville
Windgate Charitable Trust*	Siloam Springs
Winthrop Rockefeller Foundation	Little Rock
Winthrop Rockefeller Trust	Little Rock

### Grant Dollars by Subject Area Arkansas, 2009<sup>‡</sup>



\*Denotes CSF Member Foundation

<sup>‡</sup>Based on grantmaking foundations filing the IRS 990PF, with \$5 million in assets or \$10,000 or more in grants paid in 2009.

<sup>‡‡</sup>Listed alphabetically and based on grantmaking foundations filing the IRS 990PF, with \$5 million in assets or \$10,000 or more in grants paid in 2010.





## Giving Study of Private Grantmaking Foundations

# ARIZONA



Arizona-based foundations granted almost half of all funds towards Education and Health related causes. CSF members based in Arizona focused their funding on the areas of Health (31.9%) and Human Services (20.4%). Almost 30% of foundations based in Arizona hold between \$1 million and \$4.9 million in assets.

### Arizona Quick Facts<sup>†</sup>

**Total Giving by Foundations**  
\$192,604,732

**Number of Foundations**  
542

**Number with grants \$100,000+**  
221

**Percentage of total giving by foundations making grants of \$100,000+**  
93.5%

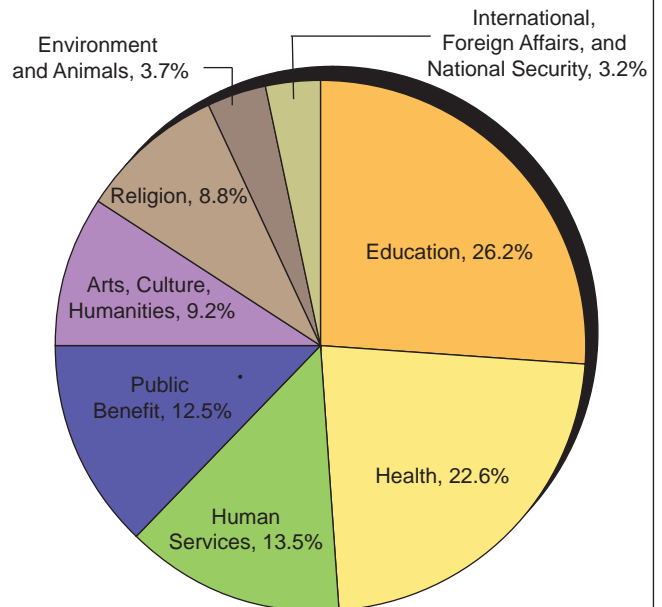
**Number of Grants to Arizona-based Organizations**  
3,436 (60.1%)

**Average Grant**  
\$32,149

### Top 20 Grantmaking Private Foundations by Giving<sup>††</sup>

Anderson Family Foundation	Phoenix
Aurora Foundation	Phoenix
Ben and Catherine Ivy Foundation	Scottsdale
Bruce T. Halle Family Foundation	Scottsdale
C. W. and Modene Neely Charitable Fdn	Gilbert
Denny Sanford Foundation	Scottsdale
Dorrance Family Foundation	Scottsdale
Emerald Foundation	Phoenix
Flinn Foundation*	Phoenix
Freeport-McMoRan Copper and Gold Fdn	Phoenix
Glenn Foundation for Medical Research	Phoenix
Herberger Foundation	Scottsdale
Hickey Family Foundation	Mesa
J. W. Kieckhefer Foundation	Prescott
Kemper and Ethel Marley Foundation*	Phoenix
Stardust Foundation	Scottsdale
Steele Foundation	Phoenix
Thomas R. Brown Family Private Fdn	Tucson
Virginia G. Piper Charitable Trust*	Phoenix
Weatherup Family Foundation	Scottsdale

### Grant Dollars by Subject Area Arizona, 2009<sup>†</sup>



\*Denotes CSF Member Foundation

†Based on grantmaking foundations filing the IRS 990PF, with \$5 million in assets or \$10,000 or more in grants paid in 2009.

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## Giving Study of Private Grantmaking Foundations

# COLORADO



One-third of all giving by Colorado foundations supported Educational Institutions and Related Activities. Human Services comprises over 20% of all giving, the highest of all CSF Member states. The majority of Colorado-based foundations, 32.4%, hold between \$1 million and \$4.9 million in assets.

### Colorado Quick Facts<sup>‡</sup>

#### Total Giving by Foundations

\$445,826,183

#### Number of Foundations

920

#### Number with grants \$100,000+

380

#### Percentage of total giving by foundations making grants of \$100,000+

95.3%

#### Number of Grants to Colorado-based Organizations

10,432 (67.2%)

#### Average Grant

\$26,513

### Top 20 Grantmaking Private Foundations by Giving<sup>‡‡</sup>

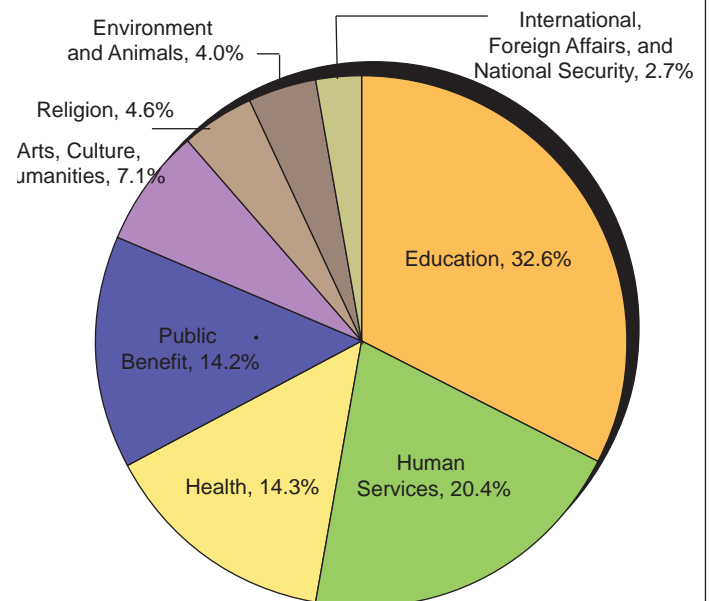
Adolph Coors Foundation*	Denver
Anna and John J. Sie Foundation	Denver
Anschutz Foundation	Denver
Boettcher Foundation*	Denver
Bohemian Foundation	Fort Collins
Colorado Trust	Denver
Daniels Fund*	Denver
El Pomar Foundation*	Colorado Springs
Gates Family Foundation	Denver
Gill Foundation	Denver
Hadley and Marion Stuart Foundation	Longmont
Helen K. and Arthur E. Johnson Foundation*	Denver
Malone Family Foundation	Englewood
Morgridge Family Foundation	Denver
Pioneer Fund	Denver
Precourt Foundation	Vail
Qwest Foundation	Denver
Schlessman Foundation	Denver
Temple Hoyne Buell Foundation	Denver
Timothy and Bernadette Marquez Foundation	Denver

\*Denotes CSF Member Foundation

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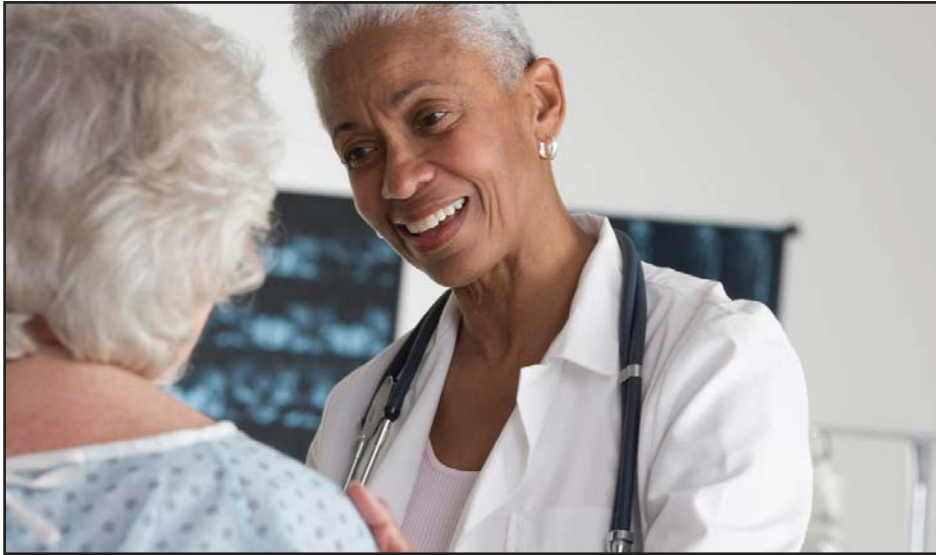
### Grant Dollars by Subject Area Colorado, 2009<sup>‡</sup>





## Giving Study of Private Grantmaking Foundations

# NEVADA



Giving by Nevada-based grantmaking foundations was fairly evenly distributed among four recipient areas: Education, Health, Arts, and Human Services. Nevada's median grant of \$10,000 was the highest in CSF's region as was the average grant at \$89,267. Sixty-eight percent of Nevada giving represents out of state grants.

### Nevada Quick Facts<sup>‡</sup>

**Total Giving by Foundations**  
\$350,746,130

**Number of Foundations**  
319

**Number with grants \$100,000+**  
166

**Percentage of total giving by foundations making grants of \$100,000+**  
98.4%

**Number of Grants to Nevada-based Organizations**  
1,588 (32%)

**Average Grant**  
\$89,267

### Top 20 Grantmaking Private Foundations by Giving<sup>‡‡</sup>

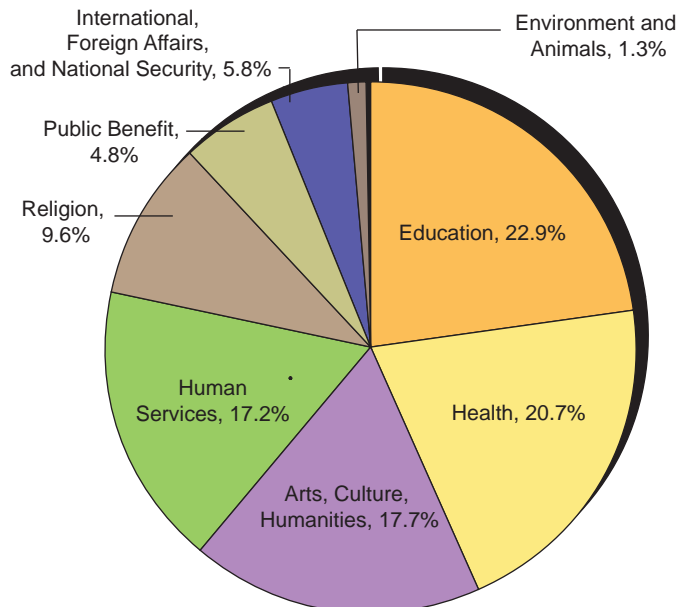
Caesars Foundation	Las Vegas
Conrad N. Hilton Foundation	Reno
Cyrus Tang Foundation	Las Vegas
Donald W. Reynolds Foundation*	Las Vegas
E. L. Cord Foundation	Reno
Engelstad Family Foundation	Las Vegas
Frank M. and Gertrude R. Doyle Foundation	Reno
Gabelli Foundation	Reno
Greenspun Family Foundation	Henderson
Hahn Family Foundation	Henderson
James H. Clark Charitable Foundation	Reno
Lied Foundation Trust	Las Vegas
M-K Link Foundation	Las Vegas
Nell J. Redfield Foundation	Reno
NV Energy Charitable Foundation	Reno
Prometheus Foundation	Crystal Bay
Skip Viragh Foundation	Reno
Terry Lee Wells Foundation	Reno
Thomas Spiegel Family Foundation	Las Vegas
Wilbur May Foundation	Reno

\*Denotes CSF Member Foundation

‡Based on grantmaking foundations filing the IRS 990PF, with \$5 million in assets or \$10,000 or more in grants paid in 2009.

‡‡Listed alphabetically and based on grantmaking foundations filing the IRS 990PF, with \$5 million in assets or \$10,000 or more in grants paid in 2010.

### Grant Dollars by Subject Area Nevada, 2009<sup>‡</sup>







## Giving Study of Private Grantmaking Foundations

# NEW MEXICO



New Mexico is the only CSF member state where more than 10% (12.1%) of all giving supported the Environment and Animals. Giving to the Environment and Animals by CSF member foundations totaled 23.1%, the highest of all member states.

### New Mexico Quick Facts<sup>‡</sup>

**Total Giving by Foundations**  
\$45,846,081

**Number of Foundations**  
170

**Number with grants \$100,000+**  
54

**Percentage of total giving by foundations making grants of \$100,000+**  
90.5%

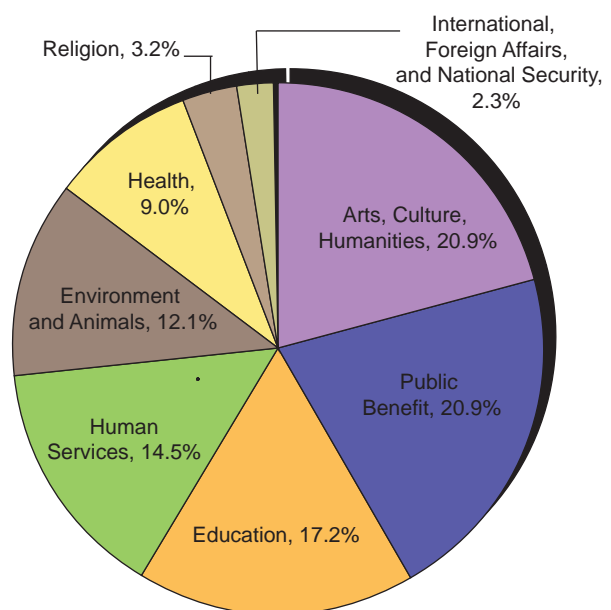
**Number of Grants to New Mexico-based Organizations**  
1,563 (66.9%)

**Average Grant**  
\$17,677

### Top 20 Grantmaking Private Foundations by Giving<sup>††</sup>

Angelica Foundation	Santa Fe
Brindle Foundation	Santa Fe
Chase Foundation	Artesia
Con Alma Health Foundation	Santa Fe
Delle Foundation	Los Alamos
Edgar Foster Daniels Foundation	Santa Fe
Eugene V. and Clare Thaw Charitable Trust	Santa Fe
Frank D. Gorham Jr. and Marie Kelly Gorham Charitable Foundation	Albuquerque
Frost Foundation	Santa Fe
Healy Foundation	Taos
J. F Maddox Foundation*	Hobbs
Johns Family Foundation	Albuquerque
Jonathan and Kathleen Altman Foundation	Santa Fe
Lannan Foundation	Santa Fe
Mandelman-Ribak Foundation	Taos
McCune Charitable Foundation*	Santa Fe
PNM Resources Foundation	Albuquerque
R. D. and Joan Dale Hubbard Foundation	Ruidoso
Thornburg Charitable Foundation	Santa Fe
Waite and Genevieve Phillips Foundation*	Santa Fe

### Grant Dollars by Subject Area New Mexico, 2009<sup>‡</sup>



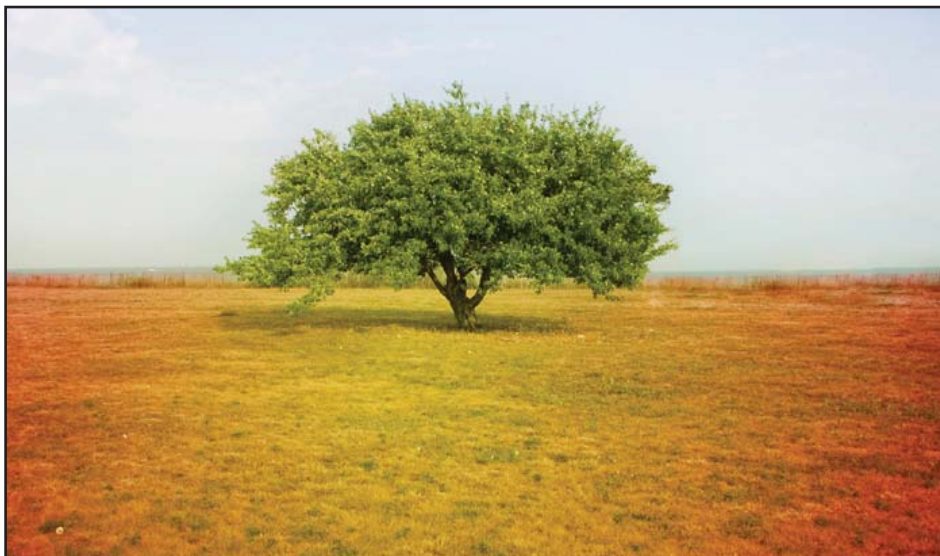
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## Giving Study of Private Grantmaking Foundations

# OKLAHOMA



Over 28% of all giving by Oklahoma foundations went towards Educational Institutions and Related Activities. CSF members based in Oklahoma also gave the highest amount (5.1%) to International, Foreign Affairs and National Security. Oklahoma is the only state within CSF's region which gave more than 10% to Religion.

### Oklahoma Quick Facts<sup>‡</sup>

#### Total Giving by Foundations

\$277,075,562

#### Number of Foundations

495

#### Number with grants \$100,000+

222

#### Percentage of total giving by foundations making grants of \$100,000+

96.1%

#### Number of Grants to Oklahoma-based Organizations

5,527 (66.5%)

#### Average Grant

\$36,612

### Top 20 Grantmaking Private Foundations by Giving<sup>‡‡</sup>

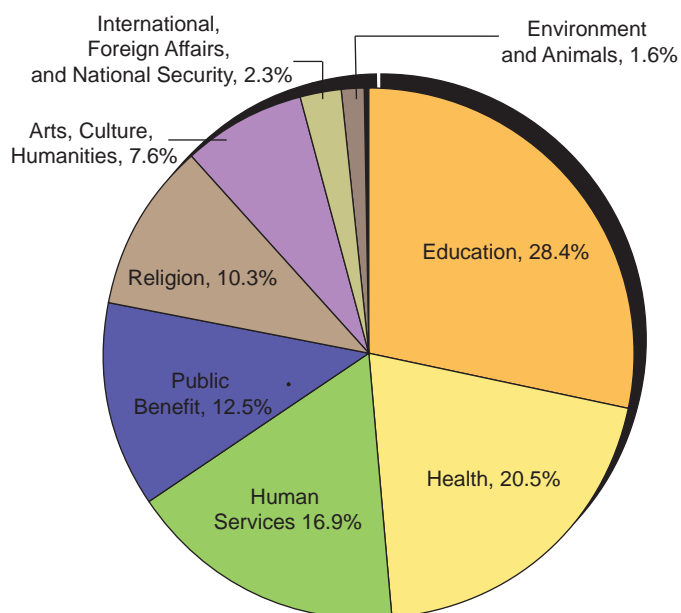
Anne and Henry Zarrow Foundation	Tulsa
Charles and Lynn Schusterman Family Foundation*	Tulsa
Charles and Peggy Stephenson Family Foundation	Tulsa
Dolese Foundation	Oklahoma City
E. L. and Thelma Gaylord Foundation	Oklahoma City
Ethics and Excellence In Journalism Fdn*	Oklahoma City
F.W. Murphy Family Foundation	Tulsa
H. A. and Mary K. Chapman Charitable Trust	Tulsa
Hardesty Family Foundation*	Tulsa
Helmerich Foundation	Tulsa
Inasmuch Foundation*	Oklahoma City
J. E. and L. E. Mabee Foundation*	Tulsa
Mary K. Chapman Foundation	Tulsa
ONEOK Foundation	Tulsa
Oxley Foundation*	Tulsa
Samuel Roberts Noble Foundation*	Ardmore
Sarkeys Foundation*	Norman
Southern Oklahoma Memorial Foundation*	Ardmore
William K. Warren Foundation	Tulsa
Williams Companies Foundation	Tulsa

\*Denotes CSF Member Foundation

‡Based on grantmaking foundations filing the IRS 990PF, with \$5 million in assets or \$10,000 or more in grants paid in 2009.

‡‡Listed alphabetically and based on grantmaking foundations filing the IRS 990PF, with \$5 million in assets or \$10,000 or more in grants paid in 2010.

### Grant Dollars by Subject Area Oklahoma, 2009<sup>‡</sup>





## Giving Study of Private Grantmaking Foundations

# TEXAS



Texas-based grantmaking foundations contributed over \$1.2 billion to organizations within Texas. Over \$593 million, or 33% of all grants from Texas-based foundations surveyed, was dedicated to Educational Institutions and Education-related Activities during 2009.

### Texas Quick Facts<sup>‡</sup>

#### Total Giving by Foundations

\$1,864,310,275

#### Number of Foundations

2,949

#### Number with grants \$100,000+

1,396

#### Percentage of total giving by foundations making grants of \$100,000+

96.6%

#### Total Number of Grants to Texas-based Organizations

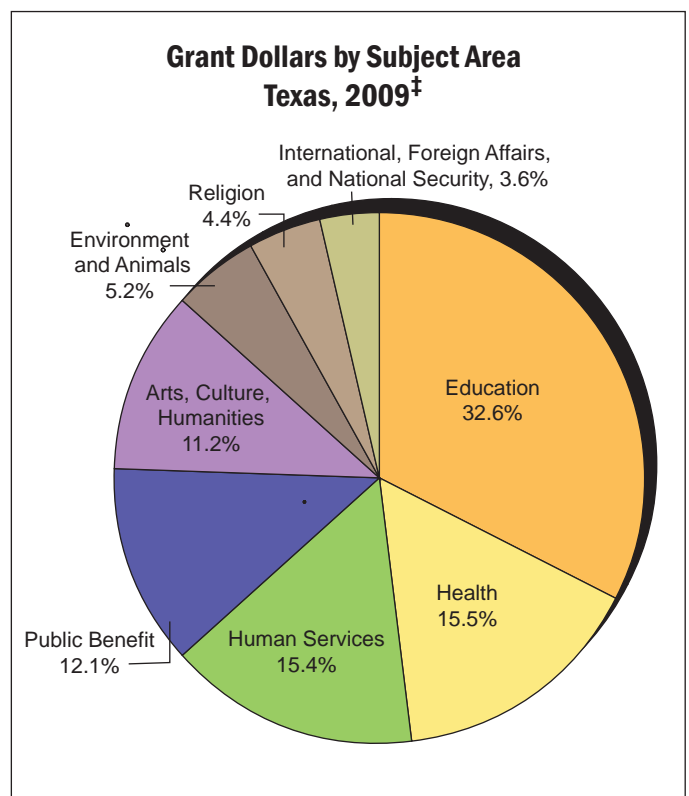
34,422 (68.9%)

#### Average Grant

\$37,852

### Top 20 Grantmaking Private Foundations by Giving<sup>‡‡</sup>

Amon G. Carter Foundation*	Fort Worth
AT&T Foundation	Dallas
BP Foundation	Houston
Brown Foundation*	Houston
Cynthia and George Mitchell Foundation	The Woodlands
ExxonMobil Foundation	Houston
Harold Simmons Foundation*	Dallas
Houston Endowment*	Houston
Laura and John Arnold Foundation	Houston
Meadows Foundation*	Dallas
Michael and Susan Dell Foundation	Austin
Moody Foundation*	Galveston
O'Donnell Foundation	Dallas
Perot Foundation	Plano
Rainwater Charitable Foundation	Fort Worth
Rees-Jones Foundation*	Dallas
Robert A. Welch Foundation*	Houston
T. L. L. Temple Foundation*	Lufkin
Valero Energy Foundation	San Antonio
William Stamps Farish Fund	Houston



\*Denotes CSF Member Foundation

<sup>‡</sup>Based on grantmaking foundations filing the IRS 990PF, with \$5 million in assets or \$10,000 or more in grants paid in 2009.

<sup>‡‡</sup>Listed alphabetically and based on grantmaking foundations filing the IRS 990PF, with \$5 million in assets or \$10,000 or more in grants paid in 2010.





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*Connecting Grantmakers. Inspiring Excellence.*

624 N. Good-Latimer Expressway  
Suite 100  
Dallas, Texas 75204  
214.740.1787 (ph) 214.740.1790 (fax)  
[www.c-s-f.org](http://www.c-s-f.org)