Philanthropy southwest

2014 Annual Conference
November 6 – 8, 2014
Sheraton Wild Horse Pass, Chandler, Arizona

Wednesday, November 5, 2014

9:30am – 3:00pm	Committee Meetings
12:00pm – 4:30pm	**Priority Investment Strategies for Native American Youth – Native American Philanthropy Convening**
1:00pm – 3:00pm	Foundant User's Group Meeting
3:30pm – 5:30pm	Board Meeting

6:30pm – 8:30pm **"The Cherokee Word for Water - The Wilma Mankiller Story"**

This feature-length motion picture was inspired by the true story of a rural Cherokee community's effort to bring running water to its families using the traditional concept of *"gadugi"* - working together to solve a problem. Led by Wilma Mankiller, who became the first woman chief of the Cherokee Nation, and Cherokee organizer Charlie Soap, the Bell Waterline Project engaged a community of volunteers to build nearly 20 miles of waterline. Its successful completion sparked a movement of similar self-help projects across the Cherokee nation and in Indian country that continues to this day.

The screening will be followed by a question and answer session with Director/Producer Charlie Soap and Writer/Producer Kristina Kiehl.

Thursday, November 6, 2014

9:30am Early Coffee – "**T" Time for newcomers and first time attendees**

8:00am – 10:00am Early CPE Session: **Private Foundation's laws and regulations: What should be keeping you up at night. (CPE/CLE)**

Private foundation laws and regulations are not always intuitive. As a result, some organizations may find they are at risk of significant tax penalties due to something they have either been doing or not doing - in some cases for years. Panelists will discuss the issues they are seeing relevant to private foundation tax law, governance, accounting and legal issues. Learn how to resolve these problematic situations and how to prevent them in the future.

Session Objectives:

- Identify the tax/legal/governance/accounting issues most likely to jeopardize a private foundation's tax compliance
- Learn how to resolve the situation and how to prevent problems in the future

Presenters: Kelli Archibald, Senior Manager, Ernst & Young, Phoenix, AZ; Darren Moore, Shareholder, Bourland, Wall & Wenzel, P.C., Fort Worth, TX.

8:00am – 10:00am

Early Session: **Vulnerable Children: Hope to the Invisibles**

The vulnerable children in American Indian and Alaska Native (AI/AN) populations show disparate outcomes in many areas such as education and employment. This session will provide information about native youth and focus on ways to engage youth in discussions with the goal of identifying Indian Child Welfare (ICW) priorities for tribal and urban Indian communities. The session will also focus on possible solutions and creative ways to engage native youth as they work on these issues.

Session Objectives:

- Learn about the vulnerabilities of AI/AN communities
- Highlight substantive and culturally responsive recommendations for improving federal and state child welfare policies, practices and services to AI/AN youth, families, and their communities, both on and off reservations
- Understand ways to map resources and programs impacting child welfare in these communities and develop policy recommendations from a native youth perspective

Presenters: Anita Fineday, Managing Director, Indian Child Welfare Program, Casey Family Programs, Seattle, WA; Erin Baily, Center for Native American Youth

10:30am – 12:00pm

Opening Brunch Plenary

Philippe Cousteau, Explorer, Social Entrepreneur, and Environmental Advocate

12:30pm – 2:00 pm

Afternoon Breakout Sessions

Hardship to Healing: How Our Nation's Veterans Are Overcoming Invisible Wounds of War

Third generation Marine, Corporal Jacob Schick (Retired), was severely wounded when his vehicle hit a triple-stacked tank mine in Iraq during his first deployment in 2004. Physically, he lost his right leg and parts of his left leg and left arm. During his 18-month hospitalization, Jake was diagnosed with both post traumatic stress disorder (PTSD) and traumatic brain injury (TBI). But as he says, "The mental pain was ten times worse than the physical pain." Hear inspiring stories from Jake, Army veteran, Sara Poquette, and Dr. Sandra Bond Chapman, founder and chief director of the Center for BrainHealth at The University of Texas at Dallas, about how you can impact the lives of warriors in transition from service to civilian life, improving not only their futures, but the future of our communities and the United States.

Session Objectives:

- Learn common misperceptions and incorrect assumptions about returning warriors diagnosed with PTSD and TBI
- Explore specific ways you can make a meaningful difference in eliminating the stigma associated with PTSD and TBI in our nation's war fighters
- Develop an understanding of how high performance brain training at the Center for BrainHealth helps veterans, military spouses and caregivers across the country

Presenters: Dr. Sandra Bond Chapman, Founder and Chief Director, Center for Brain Health at the University of Texas at Dallas; Sara Poquette, Digital Communiations Specialist, Center for Brain Health at the University of Texas at Dallas, Dallas, TX Jacob Schick, Warrior Training Team, Center for Brain Health at the University of Texas at Dallas, Dallas, TX

Shifting the Paradigm from Aging in Place to Community

America and the world are undergoing a major demographic transition. Over the next five years, adults aged 65 and older will begin to outnumber children under the age of five. However, studies reveal less than half of American communities have begun to plan for our rapidly aging population; even fewer individuals and families are prepared for old age.

In this interactive session, grant makers, including those who don't typically fund in aging, will examine how their funding priorities intersect with these changing demographics. Experts will present leading-edge programs and initiatives designed to inspire moderated round table discussions focused on strategies that can maximize the challenges of aging at home and in the community.

Session Objectives:

- Understand the language and messaging around aging and community and why it matters
- Learn how age-friendly communities are being created and sustained through collaborations among local governments, social service providers and community members at-large
- Gain knowledge of models, programs and services that highlight the multi-faceted necessary components to enable older adults to age and engage in their communities

Presenters: Janice M. Blanchard, President & Founder, Aging Better, Together, Centennial, CO; Jolene Fassbinder, Program Officer, Archstone Foundation, Long Beach, CA; Amy St. Peter, Human Services and Special Projects manager, Maricopa Association of Governments, Phoenix, AZ.

Restricted Funding: Why You Should Reconsider

In this session, the position is presented that nonprofits spend way too much time navigating the complex maze of funding restrictions, time that could be better spent delivering and improving their valuable services. While restricting funding in the name of accountability has been a standard practice that stemmed from good intentions, you will be asked to consider new ways to achieve greater efficiency while serving the same purpose. The session will be fun and interactive, with a simulation game to demonstrate how restrictions can affect nonprofit organizations.

Session Objectives:

- Illustrate and discuss the challenges of current funding models on nonprofits' operations and sustainability
- Examine national models/trends in funding that are having positive results
- Brainstorm potential creative solutions for improving nonprofit funding structures

Presenter: Vu Lee, Executive Director, Rainer Valley Corps, Seattle, WA.

Water: The Southwest's Most Critical Challenge

If not addressed in a meaningful way, the critical water issues facing the Southwestern United States could lead to serious economic and environmental consequences. Join us for a discussion about the climate forecast and water policy, particularly in the Colorado River Basin, and effective water conservation strategies. Panelists will also offer observations as to the most effective ways for philanthropic institutions to engage in helping find solutions to this most daunting problem.

Session Objectives:

- Understand the gravity of the water issues facing the southwest
- Identify opportunities for philanthropic engagement in water issues

Presenters: Andrew Sansom, Executive Director, Meadows Center for Water and the Environment, Texas State University, San Marcos, TX; Dr. John Nielsen-Gammon, Texas State Climatologist, Texas A&M University, College Station, TX; Mary Kelly, Consultant, Parula, LLC, Austin, TX; Doug Bennett, Conservation Manager, Southern Nevada Water Authority, Las Vegas, NM

12:30pm – 2:10pm **Investment Impact Plus (CPE/CFP)**

While traditional investment management has focused on maximizing risk-adjusted return so that one can give away or otherwise spend more funds over time, a growing number of philanthropic investors are looking at how to have social impact through their investments. At the most basic level, these investors might be screening their portfolios to avoid certain holdings. Investors seeking a more involved approach might be making private market investments more explicitly seeking to achieve both a social impact and a financial return. We explore the continuum of approaches being taken while discussing how such decisions affect fiduciary responsibility, potential performance, due diligence, and other key elements of the stewardship process.

Session Objectives:

- Explore the continuum of approaches available to achieve both social impact and a financial return
- Discuss fiduciary responsibility, potential performance, due diligence, and other key elements of the stewardship process with regard to impact investing

Presenters: Brook Kramer, Chief Consulting Officer, Syntrinsic Investment Counsel, LLC, Denver, CO; Lucas Mansberger, Consultant, Pavillion Advisory Group, Inc., Chicago, IL

2:15pm – 3:00pm "j**ust hashtag it"**

Grant seeking organizations are embracing the new digital world, but where do grant makers fit in? Twitter, Facebook, Pinterest, Instagram, LinkedIN and a dozen other platforms have captivated our world, and it seems the trend will only continue and develop. Now that you have had your technical questions answered by friends at the Philanthropy Southwest social media help desk, spend 45 minutes with us to further explore social media.

Session Objectives:

- Explore specific examples of grant makers who are paving the way in social media
- Engage in conversation to offer suggestions and answer questions from the audience

Presenter: Randy Macon

The Scaling Marketplace: A New Pathway to Achieve Large Scale Impact

In this session, participants will be introduced to The Scaling Marketplace, an exciting new way for foundations to achieve greater impact through their grantmaking. An initiative of the Social Impact Exchange, The Scaling Marketplace is helping to build a capital marketplace that supports effective nonprofits in the U.S. that are scaling their impact. Alex Rossides, CEO and co-founder of the Exchange, will explain the model, describe current nonprofit investments being offered through the Scaling Marketplace, and share ways in which interested funders can get involved. There will be plenty of time for questions and conversation.

Presenter: Alexander Rossides, President and Co-Founder, Social Impact Exchange

Communicating Through Times of Crisis and Contention

We live in an age of skepticism. The media, public officials, advocacy groups and the public rarely take your comments at face value. Earning trust is essential to effective communication. Whether a grant partner has a communications crisis that leads the media to your door as a funder, an interview with a reporter goes awry, or an angry grant applicant demands answers, this practical, interactive presentation will equip you with the necessary skills to represent your organization effectively and deliver a message that resonates during times of crisis.

Presenter: Russ Florence, Partner, President, and Chief Operating Officer, Schnake Turnbo Frank, Oklahoma City, OK

Rural Economic Development – Beyond the Business Park Model

"WealthWorks." "Wealth Creation Value Chains." What do these terms mean for communities small and large; for elevating individuals and their earning potential? For philanthropy? This interactive session will feature a 21st century approach to economic development that brings together and connects a community's assets to meet local, regional, and global market demand in ways that build permanent livelihoods. The idea is to simultaneously advance a region's overall prosperity and self-reliance, strengthen existing and emerging sectors, and increase jobs and incomes for lower-income residents and businesses.

Session Objectives:

- Understand what a wealth creation value chain is and its role in economic development
- Understand the collaborative nature of this tool from citizens, to businesses, to philanthropy

- Learn how this tool is being employed across the country

Presenter: Ines Polonius, Executive Director, Communities Unlimited, Inc., Fayettville, AR

2:15pm – 3:05pm **Avoiding Labor Pains (CPE/CLE)**

In order to focus their dollars on mission, organizations must wisely navigate a myriad of applicable labor and employment laws. This presentation covers the top ten ways to avoid getting sued by your employees. Learn how the wage and hour laws may apply and which deductions may be lawfully taken via payroll. Understand how to prepare documentation useful in defending potential claims, even by at-will employees, and much more.

Session Objectives:

- Identify the top areas of employer exposure to legal action in employment law
- Discuss practical methods for handling employment issues and documentation processes

Presenter: Connie Cornell, Partner, Cornell Smith Mierl &Brutaocao, LLP, Austin, TX

3:00pm – 3:30pm **Break**

3:30pm – 4:30pm Annual Meeting

4:30pm – 5:30pm Afternoon Plenary

"**The Spirit of a Child: From Rejection to Connection to Direction**"

Derek Clark

I Will Never Give Up

5:30pm – 6:30pm Small Receptions:

CEO Reception – Drinks and Dialogue - Sponsored ***by Commonfund***

Newcomers Reception – Drinks and Dialogue - ***Sponsored by US Trust***

Healthcare Conversion Foundations - Drinks and Dialogue

Texas Environmental Grantmakers Reception

6:30pm – 8:30pm General Evening Cocktail Reception

Friday, November 7, 2014

8:00am – 9:00am Breakfast Plenary

"**Building an Extraordinary World through Crisis, Change, and Choice"**

Joseph Logan

O-1 Leadership Development

9:30am – 11:00am Morning Breakout Sessions

Connecting a Multigenerational Workplace

Four distinct generations co-exist in the workplace today. Most of us work closely with colleagues who span at least three of those generations. Each brings its own views, opinions, and assumptions about the world into the office and the boardroom. However, looking beyond the challenges presented by such a multitude of viewpoints, how can we leverage the unique contributions and perspectives that each generation has to offer?

Session Objectives:

- Identify the major characteristics of each of the four generations
- Examine what each generation expects from the workplace
- Acquire a deeper appreciation for what everyone brings to the workplace

Presenter: Charlie Boyce, Vice President, Community Impact, Valley of the Sun United Way, Phoenix, AZ

High Reliability Schools – Putting the Pieces Together

Educational reform is like a jigsaw puzzle; finding the right pieces and putting them in the right place can make the difference between a finished masterpiece and an incomplete mess. Explore how one group has developed a model employed by districts and schools to transform its educational system through crafting High Reliability Schools. Based on thirty years of aggregated research that identifies clear, specific, and concrete actions, the model requires schools to *"monitor the effectiveness of critical factors within the system and immediately take action to contain the negative effects of any errors that occur."*

Session Objectives:

- Identify the components of a High Reliability School (HRS) or District
- Become familiar with at least one key component of an HRS
- Become prepared as a funder for approving research-based educational grants

Presenter: Dr. Tammy Heflebower, Senior Scholar, Marzano Research Laboratory, Centennial, CO

The Geography of Foundation Giving in the Southwest

Philanthropy Southwest's region is home to nearly 6,000 foundations donating more than $5 billion annually. Its members direct 26% of this pool of money. Between 2009 and 2011, giving increased by 18% regionally and by 30% within our membership. This session will look at private and community foundation giving in the region and how it compares nationally. We also will look at funding priorities, identify the key areas in which members are investing, and discuss ways to leverage this research for greater collaboration and impact.

Session Objectives:

- Gain a better understanding of the size, breadth and growth of private and community foundations based in the Southwest
- Identify where contributions are being made, the organizations supported, and key interest areas in the Southwest

- Discuss how the research can translate to future collaborations and co-funding opportunities

Presenter: Kathy Jankowski, Research Director, Jankowski Associates, Inc., Poolesville, MD

Reduction in Teen pregnancy in the Southwest raises grades and lowers poverty
Many critical social issues are directly linked to teen pregnancies, and significant public costs are associated with teen childbearing. Unfortunately, four states represented by Philanthropy Southwest are in the nation's top five for the number of teen pregnancies. This session will look at initiatives to prevent teen and unplanned pregnancy and thus significantly improve other serious social problems including poverty (especially child poverty), child abuse and neglect, father-absence, low birth weight, school failure, and poor preparation for the workforce.

Session Objectives:

- Gain an understanding of the severity of the problem in the Southwest
- Learn the strong linkages between teen pregnancy and poverty and education challenges
- Learn how a foundation in a conservative community or charged political environment can affect change

Presenter: Forrest Alton, CEO, South Carolina Campaign to Prevent Teen Pregnancy, Columbia, SC

Choosing the Extraordinary: How Change Creates Transformative Choice
Our morning plenary speaker, Joseph Logan will discuss his work with Extraordinary Misfits--leaders who would succeed at anything but choose the more difficult path of remaking the status quo. This session will focus on the difficult choices leaders in non-profits and social ventures make, and how those choices create lasting changing and robust communities. Joseph will also discuss how leaders find the seed of extraordinary potential within themselves, and how they move from crisis to renewal.

Presenter: Joseph Logan, Executive Coach, O-1 Leadership Development, Boulder, CO

9:30am – 11:10am **An Evolution of Perspectives on Portfolio Allocations (CPE/CFP)**
Portfolio construction is no longer a process of simply deciding how much to allocate to different asset classes. As new investment strategies have emerged, blurring the lines defining traditional asset classes, investors have recognized the need to look at their portfolio through different lenses. Today many have moved toward a more comprehensive risk allocation-based approach that considers the role investments play in portfolios, including a more comprehensive assessment of risk and return trade-offs. However, no two approaches are the same, and many different ways of thinking have emerged. What are these new approaches and how do they improve the construction of portfolios?

Session Objectives:

- Identify and explain the drivers of the evolution of the risk allocation-based

approach
- Identify the distinction between a traditional asset class perspective and a role-in- portfolio perspective
- Engage in a discussion regarding the various approaches to risk allocation being taken by institutional investors

Presenters: Celia Dallas, Managing Director, Cambridge Associates, Boston, MA; Craig Smith, Managing Directory, Cambridge Associates, Boston, MA; Nita Patel, Managing Director, Cambridge Associates, Menlo Park, CA

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11:30am – 12:30pm Luncheon/Plenary **A is for Advocacy**

1:00pm – 2:30 pm Afternoon Breakout Sessions

The Economic Impact of Immigration and the Attraction to the U.S.

Last year's overview of the diverse immigrant population nationally and regionally and the exploration of immigration trends and issues specific to the seven states in Philanthropy Southwest's region provided an excellent starting point to a continuing conversation about immigration in the Southwest. This year's session will offer background on significant legislation focused on immigration as far back as the Johnson-Reed Act of 1924 and provide data showing the impact of immigrants in the U.S., both those with higher skills and those with lower levels of education. Additionally, presenters will highlight reasons behind the recent increase in the numbers of children seeking refuge in the U.S., address foreign policies designed to encourage the democratization of Central America, and offer insight into the current threats to democratic development within these countries, from uncontrolled crime

Session Objectives:

- Gain a better understanding of the roles higher-skilled and lower-skilled immigrants play in our economy
- Understand why it is important to have both sets of immigrants in our Southwest region
- Understand why we, as U.S. citizens and residents of the southwest, should be concerned with building and strengthening democratic institutions and practices within Central America.

Presenters: Matt Denhart, Executive Director, Calvin Coolidge Presidential

Foundation, Plymouth, VT; Daniel Fisk, Director, Economic Growth, George W. Bush Institute, Dallas, TX

The Power of Investing in Nonprofit Capacity

Nonprofit capacity building is more important than ever. How nonprofits work today and in the future is vital to their sustainability. Hear from two foundation funders who have made capacity investments in their grantees and from a nonprofit consultant who works to build the capacity of nonprofits. Together they will discuss how we can collectively address capacity constraints such as financial instability, disengaged boards, lack of funding for professional development, and the need for long-term planning.

Session Objectives:

- Understand capacity investments and why foundations should make capacity investments in their grantees
- Learn how to evaluate a grantee's readiness
- Learn how to go about the work of funding capacity investments

Presenters: Nell Edgington, President, Social Velocity, Austin, TX; Darryl Tocker, Executive Director, Tocker Foundation, Austin, TX; Ellen Solowey, Program Officer, Virginia G. Piper Charitable Trust, Phoenix, AZ

Game Changer: Philanthropy's Role in Eliminating Mental Health Disparities

The majority of persons with serious mental health conditions have co-existing physical health conditions, and these people die an average of 25 years earlier than the general population. Integrated health care, systematically coordinating primary care and mental health services, is a promising approach to delivering care for people with multiple health conditions and can greatly contribute to improved health and quality of life. However, despite improvements in overall health in the US, racial and ethnic minorities face reduced access and are less likely to receive routine medical procedures. Funders can act as "game changers" by influencing health care policies, programs, and practices to address these disparities. The session will focus on three examples of how funders have used these strategies with success.

Session Objectives:

- Identify three factors that contribute to health disparities in racial and ethnic minorities and people with limited English language proficiency
- Examine the health care system of the 21st century, including changes due to the Affordable Care Act, as well as mental health parity through an interactive and experiential exercise
- Identify four key strategies foundations can use to address health disparities and advance policy change, achieve greater access to health care, improve quality of service and contribute to better outcomes

Presenters: Octavio Martinez, Executive Director, Hogg Foundation for Mental Health, Austin, TX; Enrique Mata, Senior Program Officer, Paso del Norte Health Foundation, El Paso, TX; Rick Ybarra, Program Officer, Hogg Foundation for Mental Health, Austin, TX

Aging out of foster care – preparing young adults for the future
In 2012, there were nearly 400,000 children in the foster care system nationally. Nearly 68,000 of these lived in the Philanthropy Southwest region. On any given day there are more than 15,000 children in the foster care system in Arizona. While the child welfare system and courts work to find permanency for each child through reunification, adoption or guardianship, approximately 750 youth "age out" of foster care in Arizona every year without achieving a legal, permanent connection. In this session, participants will hear about the challenges these young adults experience and learn about supportive services available as well as those that are needed, to prepare these young adults for success.
Session Objectives:

- Deepen understanding of the challenges faced by youth who grow up in and age out of the foster care system
- Identify elements of successful programs designed to meet the needs of these youth

Presenters: Barbara Guillen, State Independent Living Coordinator, Division of Child Safety and Family Service, Gilbert, AZ; Jakki Kolzow, Director – Arizona Field Office, Casey Family Programs, Phoenix, AZ; Tim Judkins , Case Work Supervisor *CASA of Central Texas; Justice Larue, Foster care Alum*

1:00pm – 2:40pm

Changing the Investment Perspective (CPE/CFP)
This session will provide an overview of current economic and capital market conditions. There will be emphasis on particular implications for philanthropic asset management and a discussion on which investments address specific concerns and desires in the portfolio including inflation risk, income needs, growth requirements, and volatility control.
Presenter: Ron Florance, CFA, Investment Consultant, Phoenix, AZ

3:00pm – 6:00pm

Offsite Learning Opportunities:
Desert Botanical Garden – Visit the Desert Botanical Garden where for more than 70 years, the facility and staff have been teaching and inspiring visitors from the local community and around the world, providing research, exhibits and more designed to help us understand, protect and preserve the desert's natural beauty.

Educare Arizona – a program based on the best early education practices that ensure the school readiness of children most at risk for academic failure. At Educare Arizona and across the network of Educare schools, teachers work with children beginning in infancy through preschool and their parents to develop pre–literacy and early math skills such as letter and number recognition, problem solving, and counting. Central to Educare's mission is involving families in their children's development

Arizona Bridge – Arizona Bridge to Independent Living (ABIL), is a powerful "voice" for the disability community in Arizona. It offers independent living programs designed to empower people with disabilities to build independent lives.

3:00pm – 5:00pm

Afternoon Seminar:
Strong Leaders, Strong Teams
Leaders who understand and leverage their own unique talents and gifts-- and those of each of their staff and board members -- create better results and more productive, engaged organizations. In this interactive session, you will be guided through an understanding of your top five talents from the Clifton Strengthsfinder® assessment and be provided with an explanation on how to harness them for greater results and personal fulfillment, and to identify complementary strengths in others.
Presenter: Dr. Cynthia Pladziewicz, President, Professional Development Perspectives, Dallas, TX

3:00pm – 5:00pm

Extended CPE Session:
It CAN Happen Here: fraud detection, deterrence and protection (CPE)
"We didn't think it could happen here." An attitude that fraud only happens to others often preempts preventive actions. Consequently, the foundation's auditor or chief financial officer is either blamed for not speaking up more vehemently about vulnerabilities or tasked with making sure it doesn't happen again. This session will focus on the three prongs of managing the risk of fraud.
Presenter: David Cotton, Chairman, Cotton & Company, LLP, Alexandria, VA

Dinner on your own

Saturday, November 8, 2014

8:30am – 9:30am

Breakfast Plenary – **Book Review** with Randy Mayeux

9:45am – 11:30am

Morning Session
2014 Legislative Update (CPE/CLE/CFP) **Andrew Schulz**
Jeff Hammond

12:00pm

Debrief for 2014 and 2015 Program Committee